

Identification Record for a Signatory to an Account

100 Point Check – Note: This form cannot be used for MasterCard Applications, please use FTRA 100 Point Check form.

How to complete this form:

- Record the points scored for the checks carried out.
- Total the points scored.
- In Parts A and B, record the appropriate details for the checks carried out.
- In Part C, indicate if verification has or has not been achieved.

* Make Copies of all Documents

Name of signatory		
Account name		
Account number (if issued)		

TYPE OF CHECK		AVAILABLE POINTS	POINTS SCORED	DETAILS TO BE RECORDED	
1	PRIMARY DOCUMENTS NAME of signatory from one of the following: • Birth Certificate • International travel document: – a current passport – expired passport which has not been cancelled and was current within the preceding 2 years – other document of identity having the same characteristics of a passport (eg. this may include some diplomatic documents and some documents issued to refugees) • Citizenship certificate	Score 70 points only. (Do not score additional points for more than one document.)	70	<input type="text"/>	Provide document details in A overleaf, and keep a copy of the document.
2	NAME of signatory verified from a written reference from one of the following, signed by both the person giving it and the signatory:	• Another financial body certifying that the signatory is a known customer of at least 12 months standing	40	<input type="text"/>	Provide document details in A overleaf, and keep a copy of the document.
		• Another customer who has been verified as a signatory by the cash dealer and who has known the signatory for at least 12 months	40	<input type="text"/>	
		• An acceptable referee (refer to AUSTRAC Guideline 3 and AUSTRAC Information Circular 3) who has known the signatory for at least 12 months	40	<input type="text"/>	
3	NAME of signatory verified from the following, (but only where they contain a photograph or signature that can be matched to the signatory):	• A licence or permit issued under a law of the Commonwealth, a State or Territory (e.g. an Australian driver's licence)	40	<input type="text"/>	Provide document details in A overleaf, and keep a copy of the document.
		• An identification card issued to a public employee	40	<input type="text"/>	
		• An identification card issued to a person by the Commonwealth, a State or Territory as evidence of the person's entitlement to a financial benefit	40	<input type="text"/>	
		• An identification card issued to a student at a tertiary education institution	40	<input type="text"/>	
4	NAME and ADDRESS of signatory verified from the following:	• A document held by the cash dealer giving security over the signatory's property	40	<input type="text"/>	Provide document details in A overleaf, and keep a copy of the document.
		• A mortgage or other instrument of security held by another financial body	40	<input type="text"/>	
5	NAME and ADDRESS of signatory verified from the following:	• A current employer, or a previous employer within last 2 years	35	<input type="text"/>	Provide details in B overleaf
		• A rating authority (e.g. land rates)	35	<input type="text"/>	
		• The Credit Reference Association of Australia (subject to the <i>Privacy Act 1988</i>)	35	<input type="text"/>	
		• Land Titles Office records	35	<input type="text"/>	

6	NAME, ADDRESS and TELEPHONE NUMBER verified: <input type="radio"/> by reference to the latest telephone directory published by Telstra or by advice provided by Telstra; and <input type="radio"/> by telephone contact with the signatory on that telephone number	25	<input style="width: 40px; height: 20px;" type="text"/>	Provide phone number in B below.
7	NAME of signatory verified from any other secondary identification document relating to the signatory, e.g. credit card, Medicare card, telephone account, council rates notice, etc. Note More than one document may be counted, but points scored from a particular source may be counted only once, e.g. if MasterCard and Visa Card issued from the same financial institution, only one may be counted.	25	<input style="width: 40px; height: 20px;" type="text"/>	Provide document details in A below, and keep a copy of the document.
		25	<input style="width: 40px; height: 20px;" type="text"/>	
		25	<input style="width: 40px; height: 20px;" type="text"/>	
		25	<input style="width: 40px; height: 20px;" type="text"/>	
8	NAME and ADDRESS of the signatory verified from the following: <ul style="list-style-type: none"> • The electoral roll compiled by the Australian Electoral Office and available for public scrutiny • An acceptable referee (refer to AUSTRAC Information Circular No.3) Note It is not required that the acceptable referee has known the signatory for 12 months or more • If the signatory lives or carries on business in rented accommodation —the owner or landlord of the premises or a real estate agent acting as managing agent of the premises • The records of a public utility • The records of another financial body of which the signatory is a known customer • A record held under a law other than a law relating to land titles (for which, see No.6) 	25	<input style="width: 40px; height: 20px;" type="text"/>	Provide details in B below.
		25	<input style="width: 40px; height: 20px;" type="text"/>	
		25	<input style="width: 40px; height: 20px;" type="text"/>	
		25	<input style="width: 40px; height: 20px;" type="text"/>	
		25	<input style="width: 40px; height: 20px;" type="text"/>	
		25	<input style="width: 40px; height: 20px;" type="text"/>	
9	NAME and DATE OF BIRTH of the signatory verified from any one of the following: <ul style="list-style-type: none"> • The records of a primary, secondary or tertiary educational institution attended by the signatory within the last 10 years • The records of a professional or trade association of which the signatory is a member 	25	<input style="width: 40px; height: 20px;" type="text"/>	Provide details in B below.
		25	<input style="width: 40px; height: 20px;" type="text"/>	
The signatory is taken to be identified if the signatory rates at least 100 points		TOTAL	<input style="width: 40px; height: 20px;" type="text"/>	

A Document details from Checks 1, 2, 3, 4 and 7 (and keep a copy of the document)

Type of document			
Person to whom it relates			
Age (if shown)			
Place of residence (if shown)			
Date of issue			
Place of issue			
Expiry date			
Document number			

B List below the details of the method(s) and source(s) of verification for Checks, 5, 6, 8 and 9

Type of check			
Person giving information			
Title, rank or designation			
Name and address of organization (if relevant)			
Information given (attach a separate sheet if required)			

C Result of check

Has verification been achieved? Yes No

Is there a need to file a Suspect Transaction Report? Yes No

CHECKING OFFICER
Signature

Date